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THE
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Quarterly Update - Q4 2019

New Product: Rule 10b5-1 Plan Data on EZ-Insider

On Monday we will roll out EZ-Insider's newest premium feature: Rule 10b5-1 Plan Data.

We have been building the industry's most comprehensive database of 10b5-1 plans disclosed on Insider filings, Form 144s, and SEC documents. Users will be able to view the 10b5-1 plans adopted by insiders at a company, as well as an individual insider's 10b5-1 plan history. Additionally, users can look up 10b5-1 plans by broker, view new 10b5-1 plans, and find individuals who have never disclosed a 10b5-1 plan.

All users will be able to see the five most recent 10b5-1 plans at a company:

Name	Relation	Plan Date	Broker (if available)	Shares	
				Proposed	Traded
McCarthy Christine M	CFO	9/13/2019	Merrill Lynch & Co Inc	39,617	39,617
Mucha Zenia B	VP	6/14/2019	Merrill Lynch & Co Inc	76,312	76,312
Braverman Alan N	VP	6/4/2019	Merrill Lynch & Co Inc	94,462	94,462
Woodford Brent	VP	3/9/2019	n/a	n/a	20,600
Mucha Zenia B	VP	11/13/2018	Merrill Lynch & Co Inc	33,000	33,000

[See More Plans at Walt Disney Company \[DIS\]...](#)

Users with the add-on feature can access a full roster of company insiders, allowing you to see who has adopted 10b5-1 plans and when those plans were active:

Name	Relation	Latest	Shares			Dates Active	Restricted
		Plan Date	Proposed	Traded	Disp		Stock
Iger Robert A	Chairman & CEO	12/18/2017	485,412	485,412	Disp	8/6/2018 - 11/9/2018	Yes
Eisner Michael D	Chief Executive Officer						No
McCarthy Christine M	SEVP-Chief Financial Officer	9/13/2019	39,617	39,617	Disp	11/12/2019 - 11/13/2019	Yes
Rasulo James A	Sr. Exec VP & CFO						No
Braverman Alan N	Sr EVP, General Counsel & Sec	6/4/2019	94,462	94,462	Disp	7/5/2019	Yes

Users with the add-on feature will be able to view the 10b5-1 Plan Menu:

 View recently disclosed 10b5-1 plans	 View 10b5-1 plan news
 Plans by Company View 10b5-1 plans by company	 Plans by Broker View 10b5-1 plans by broker
 Plans by Insider View 10b5-1 plans by Insider	 Sellers Without a Plan View individuals who have never disclosed a 10b5-1 plan

[Click Here to Request a Free Trial of the 10b5-1 Feature](#)



Market Update: Insider Buying Spikes in Tech and Energy Sectors

The insiders in the Technology sector purchased an aggregate of \$30.1 million of their companies' stock in November, the highest amount of any month this year. A total of 61 insiders purchased at 38 different companies last month, trailing only May of this year. Purchases at Intel and Dropbox paced the field. The collective insider purchasing is notable given price run-ups in the industry this year, and could imply insiders expect shares to climb even higher.

Insider purchasing also surged in the Energy industry in November to \$234 million, far outstripping the year's average monthly insider purchasing aggregate of \$57 million. The purchases come as shares of some companies in the industry have declined this year. However, \$185 million of the month's value come from two large trades made pursuant to private agreements. When you factor out those trades, the volume of insider purchasing appears more in line with the monthly average.

Insider buying in the Technology Industry in Millions (\$)



Use the Industry pages on EZ-Insider to analyse activity by sector

[Click Here to Request a Free Trial of EZ-Insider](#)

Subscribe: Free Monthly 144 Market Report

The free monthly 144 Market Report highlights the top brokers in the market each month, and how they stack up against one another. The report is released in the middle of each month, with a summary of the previous month's activity.

144 Market Volume

The 144 market volume in October shrank 43% from the prior month, a larger decline than the average September-October decrease of 12%. Volume rose 7% from October 2018, a smaller rise than the typical year-over-year increase of 27%. Rule 10b5-1 trades made up 46% of the month's total, a 23% rise from the portion of September's total. The value of pre-arranged trades fell by almost 30% from September, in contrast with the average September-October increase of 19%. Additionally, the value of 10b5-1 trades in October fell by 15% year-over-year, in contrast with the average October year-over-year increase of 29%.



[Click Here to Subscribe to the FREE Mid-Monthly 144 Market Report](#)

Product Update: Related Insiders Display

The related Insiders feature on EZ-Insider now allows you to see which insiders are linked to the ones you are currently viewing. We have leveraged our extensive database of Insiders to link associated insiders together based on filings, transactions, and our proprietary research process. You can see any Insider's related insiders on the top of their individual insider activity page.

Foletta Mark G

This individual has activity at 5 other companies. [See all activity](#)

Related Insiders:

[Foletta Family Trust 1/30/18](#) [Foletta Mark G Ttee](#) [Foletta Family Trust](#)

Transactions made by related Insiders are brought into the same display when the Insiders are essentially the same, such as an individual Insider and their associated trust or LLC. Transactions that are brought into a display on EZ-Insider are italicized, and hovering your cursor over the superscript "rel" lets you see the related Insider who filed the transaction.

As Of	Relation	Date	Type	Shares	Avg. Price	Value	Form	1-year
12/3/19	Affil Person ^{REL}	12/3/19	144 Sale -Planned ^E	1,000	\$221.34	\$221.3K	144	2.6% ^Q
12/3/19	Dir	12/3/19	Sale -Planned ^E	1,000	\$227.59	\$227.6K	4	5.3% ^Q



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